SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL										
OMB Number:	3235-0287									
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		on*	2. Issuer Name and Ticker or Trading Symbol Chefs' Warehouse, Inc. [CHEF]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				X	Director	10% Owner		
		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 11/02/2018	X	Officer (give title below) Vice Chairm	Other (specify below) an		
(Street) RIDGEFIELD CT 06877		06877	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repor	rting Person		
(City)	(State)	(Zip)			Form filed by More than Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.		4. Securities A Disposed Of (5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
		Code V Amount (A) or Price		Transaction(s) (Instr. 3 and 4)		(
Common Stock	11/02/2018		S ⁽¹⁾		130,550	D	\$35.01 ⁽²⁾	1,467,660	D	
Common Stock	11/05/2018		S ⁽¹⁾		220	D	\$35 ⁽³⁾	1,467,440	D	
Common Stock	11/06/2018		S ⁽¹⁾		102,013	D	\$35.06 ⁽⁴⁾	1,365,427	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The sale on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on August 8, 2018.

2. The price reported in Column 4 is a weighted average price per share. These shares were sold in multiple transactions at prices ranging from \$35.00 to \$35.05, inclusive. The Reporting Person undertakes upon request to provide the Issuer, any security holder of the Issuer, or the SEC full information regarding the shares sold at each separate price within the range set forth in this footnote.

3. The price reported in Column 4 is a weighted average price per share. These shares were sold in multiple transactions at prices ranging from \$35.00 to \$35.01, inclusive. The Reporting Person undertakes upon request to provide the Issuer, any security holder of the Issuer, or the SEC full information regarding the shares sold at each separate price within the range set forth in this footnote.

4. The price reported in Column 4 is a weighted average price per share. These shares were sold in multiple transactions at prices ranging from \$35.00 to \$35.30, inclusive. The Reporting Person undertakes upon request to provide the Issuer, any security holder of the Issuer, or the SEC full information regarding the shares sold at each separate price within the range set forth in this footnote.

/s/ Alexandros Aldous,

Pappas

Attorney-in-Fact for John <u>11/06/2018</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.