SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
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hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Hanson Stephen P				2. Issuer Name and Ticker or Trading Symbol Chefs' Warehouse, Inc. [CHEF]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Hallson	<u>i Stephe</u>	<u>en P</u>											X Direc	tor		10% O\	vner
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 05/15/2020								Office	er (give title v)		Other (s below)	specify
				4 If A	mend	ment, Date of	f Origina	l Filer	I (Month/Da	v/Ye	ear)	6	Individual o	r Joint/Grou	n Filina	(Check A	pplicable
(Street)				-			ongina		. (. <i>y,</i> . c	July	Lii	ne)				
l` í	RIDGEFIELD CT 06877							filed by On	•	0							
							Form Pers	filed by Mo	ore than	One Rep	orting						
(City)		(State) (Zip)														
		Table	I - Non-Deriv	ative S	ecu	rities Acq	uired,	Dis	posed of	ⁱ , or	r Ben	efici	ally Own	ed			
1. Title of Security (Instr. 3) 2. Transat Date (Month/Da				Execution Date,		3. 4. Securities Acquired (/ Disposed Of (D) (Instr. 3) Code (Instr. 8) 5)				nd Securi Benefi Owned	Amount of ecurities eneficially wned Following eported		Direct ndirect tr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
							Code	v	Amount		(A) or (D) P		Transaction(s) (Instr. 3 and 4)				(1150.4)
Common Stock 05/15/2				5/2020	/2020		A		7,746		Α	\$ <mark>0</mark> (¹⁾ 6	9,773	I	D C	
		Та	ble II - Deriva (e.g., j			ties Acqui varrants,								d		· · ·	
1. Title of Derivative Security (Instr. 3)	2. Conversid or Exercis Price of Derivative Security	e (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year	4. Transad Code (I 8)		of	6. Date Expirati (Month/	on Da		d 7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		f g	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	ly Di or (I)). wnership orm: irect (D) r Indirect) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

Explanation of Responses:

1. This Reporting Person received 7,746 shares of restricted common stock pursuant to The Chefs' Warehouse, Inc. 2019 Omnibus Equity Incentive Plan. The forfeiture restrictions associated with 100% of the award will lapse on the earlier of the date of the Issuer's 2021 annual stockholders' meeting or the one-year anniversary of the date of grant.

Date

Exercisable

<u>/s/ Alexandros Aldous,</u> <u>Attorney-in-Fact for Stephen</u>

of

Shares

Title

Amount or Number

P. Hanson

Expiration

Date

** Signature of Reporting Person Date

05/18/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

v

(A) (D)

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.