SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

-	-								
OMB Number:	3235-0287								
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Pappas John				2. Issuer Name and Ticker or Trading Symbol <u>Chefs' Warehouse, Inc.</u> [CHEF]						5. Relationship of Reporting Person(s) to Issu (Check all applicable) X Director 10% Own			
(Last) (First) (Middle) 100 EAST RIDGE ROAD				3. Date of Earliest Tra 02/25/2021	nsaction	(Mont	h/Day/Year)	>	below)	e Othe belo Chairman	er (specify w)		
(Street) RIDGEFIELD (City)	CT (State)	06877 (Zip)	·	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicab Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
	Та	ble I - No	on-Derivati	ve Securities A	cquire	d, Di	sposed of	, or Be	eneficial	ly Owned			
Date			2. Transaction Date (Month/Day/Ye	Execution Date,	3. Transa Code 8)		4. Securities Disposed Of 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
						v	Amount (A) or (D) Pric		Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock 02/25/20				21	F ⁽¹⁾		1,749	D	\$30.62	993,131	D		

Common	Stock		02/25/20	021		F ⁽¹⁾		1,729	D	\$30.62	991	,402	Ι)	
Common	Stock										400	,000	I	I Se Fo	ee ootnote ⁽²⁾
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative	2. Conversion		3A. Deemed Execution Date,	4. Transaction	5. Number of		e Exercis ation Date	sable and e	7. Title a Amount			9. Numbe derivative		10. Ownership	11. Nature of Indirect

Security	Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)				Expiration Date (Month/Day/Year)		Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					

Explanation of Responses:

1. Shares withheld upon vesting of restricted common stock awarded to reporting person pursuant to The Chefs' Warehouse 2019 Omnibus Equity Incentive Plan.

2. Shares are held by a single member LLC the sole member of which is a grantor retained annuity trust established by the Reporting Person and of which the Reporting Person is the sole trustee and sole annuity beneficiary.



03/01/2021

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.